

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lee Cheng et al.

Serial No.: 10/055,706

Filed: January 23, 2002

For: **OPTICAL COMPONENT HAVING A REDUCED THERMAL SENSITIVITY**

Group No.: 2873

Examiner: Unknown

Docket No. LIGHT1660-1

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 14 May 2002, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Date

Signature

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated February 21, 2002. A Petition to Extend Time accompanies this paper.

Transmitted herewith is the executed combined Declaration/Power of Attorney.

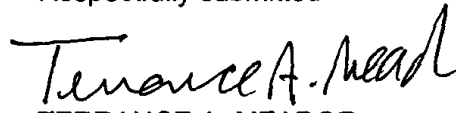
The Fee for this Response is calculated as follows:

| For | Number Filed | | Number Extra Claims | Large Entity Rate | Small Entity Rate | Calculations |
|--------------------|--------------|---|---------------------|-------------------|-------------------|--------------|
| Total Claims | 4 - 20 | = | 0 | x \$18.00 | x \$9.00 | \$00.00 |
| Independent Claims | 2 - 3 | = | 0 | x \$84.00 | x \$42.00 | \$00.00 |
| Basic Filing Fee | 370.00 | | | \$740.00 | \$370.00 | \$370.00 |
| | | | | | TOTAL FEE | \$370.00 |

Applicant: Lee Cheng et al.
Serial No.: 10/055,706 - Filed: January 23, 2002
Page 2

Enclosed is a check in the amount of \$485.00; which consists of \$370.00 for the filing fee and \$65.00 for the missing parts surcharge, and \$55.00 for the Petition to Extend Time. The Commissioner is hereby authorized to charge any additional fees that may be associated with this communication, or credit any overpayment, to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted


TERRANCE A. MEADOR
Reg. No. 30, 298

Date: 14 May 2002

GRAY CARY WARE & FREIDENRICH
4365 Executive Drive, Suite 1100
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Telephone: (858) 638-6747 Fax: (858) 638-6727

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CHECK NO.:

508183

| INVOICE | DATE | AMOUNT | |
|----------------|----------|--------|-----------------------------|
| 5/7/02 21140-1 | 05-07-02 | 485.00 | 2101501-165197 Rachel x6741 |
| | | | Missing parts 10/055,706 |
| | | | VENDOR ID: cpt |

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/055,706 | 01/23/2002 | Lee Cheng | LIGHT1660 |

25548
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CONFIRMATION NO. 6231

FORMALITIES LETTER



OC000000007511663

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

*A copy of this notice **MUST** be returned with the reply.*

Anthony M. B.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/05/2002 WABRHAM1 00000083 071895 10055706

01 FC:201
02 FC:205

370.00 OP
65.00 OP